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NOTICE OF ALLOWANCE AND FEE(S) DUE

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05/23/2003

E I DU PONT DE NEMOURS AND COMPANY LEGAL PATENT RECORDS CENTER BARLEY MILL PLAZA 25/1128 4417 LANCASTER PIKE WILMINGTON, DE 19805 EXAMINER

SAIDHA, TEKCHAND

ART UNIT CLASS-SUBCLASS

1652

435-232000

DATE MAILED: 05/23/2003

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/027,450 | 12/20/2001 | Saverio Carl Falco | BB1126 USDIV | 1501 |

TITLE OF INVENTION: PLANT BRANCHED-CHAIN AMINO ACID BIOSYNTHETIC ENZYMES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1300 | \$300 | \$1600 | 08/25/2003 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

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If the SMALL ENTITY is shown as NO:

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- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

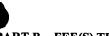
IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

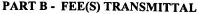


UNITED STATES DEPARTMENT OF COMMERCE Unit d States Patent a . Trademark Office

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO **EXAMINER** ART UNIT PAPER NUMBER DATE MAILED: This is a communication from the Examiner in charge of this application. COMMISSIONER OF PATENTS AND TRADEMARKS NOTICE OF ALLOWABILITY All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to The allowed claim(s) is/are The drawings filed on are accepted by the Examiner. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). Ali Some* None of the: Certified copies of the priority documents have been received. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). *Certified copies not received: Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e)(to a provisional application). The translation of the foreign language provisional application has been received. Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS must be submitted. including changes required by the Notice of Draftsperson's Patent Drawing Review, PTO-948, attached hereto or to Paper No. including changes required by the proposed drawing correction filed on. approved by the Examiner. including changes required by the attached Examiner's Amendment/Comment or in the Office action Paper No. . Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the top margin (not the back) of each sheet. The drawings should be filed as a separate paper with a transmittal letter addressed to the Official Draftsperson. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) Notice of References Cited, PTO-892 Information Disclosure Statement(s), PTO-1449, Paper No(s). Notice of Draftsperson's Patent Drawing Review, PTO-948 Notice of Informal Patent Application, PTO-152 Interview Summary, PTO-413 Examiner's Amendment/Comment Examiner's Comment Regarding Requirement for the Deposit of Biological Material Examiner's Statement of Reasons for Allowance

Other:





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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks I through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block I, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block I) ote: A certificate of mailing can only be used for domestic mailings of the 23906 Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 7590 05/23/2003 E I DU PONT DE NEMOURS AND COMPANY LEGAL PATENT RECORDS CENTER Certificate of Mailing or Transmission BARLEY MILL PLAZA 25/1128 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile 4417 LANCASTER PIKE **WILMINGTON, DE 19805** transmitted to the USPTO, on the date indicated below. (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/027,450 12/20/2001 Saverio Carl Falco BB1126 USDIV 1501 TITLE OF INVENTION: PLANT BRANCHED-CHAIN AMINO ACID BIOSYNTHETIC ENZYMES APPLN, TYPE SMALL ENTITY **ISSUE FEE** PUBLICATION FEE TOTAL FEE(S) DUE DATE DUE nonprovisional \$1300 \$300 \$1600 08/25/2003 EXAMINER ART UNIT CLASS-SUBCLASS SAIDHA, TEKCHAND 1652 435-232000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. single firm (having as a member a registered attorney or agent) and the names of up to 2 ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☐ corporation or other private group entity ☐ government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): ☐ Issue Fee A check in the amount of the fee(s) is enclosed. ☐ Publication Fee ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number ______(enclose an extra copy of this form). ☐ Advance Order - # of Copies Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. (Authorized Signature) (Date) NOTE; The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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TRANSMIT THIS FORM WITH FEE(S)

Application/Control Number: 10/027450

Art Unit: 1652

Notice of Allowability

- 1. Applicant's election without traverse of Group I in Paper No. 6, filed 3.25.03, is acknowledged.
- 2. Applicants' amendment filed along with Paper No. 6 is also acknowledged.
- 3. Claims 27-35 & 40 are pending and under consideration in this examination.
- 4. Claims 27-35 & 40 are allowed, subject to the following Examiner's Amendment.

An Examiner's Amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 C.F.R. § 1.312. To ensure consideration of such an amendment, it **MUST** be submitted no later than the payment of the Issue Fee.

5. Authorization for this examiner's amendment was given in a telephone interview with Mark Freeman/Liza D. Hohenschutz Applicants' representatives on May 20, 2003.

Rewrite claims 27-29 as follows:

An isolated polynucleotide comprising: (a) a nucleotide sequence encoding a polypeptide having 3-isopropylmalate dehydratase activity, wherein the nucleotide sequence and SEQ ID NO: 46 have at least 80% sequence identity based upon the Cluster alignment method, or (b) the complement of the nucleotide sequence of (a), wherein the complement and the nucleotide sequence are 100% complementary.

The polynucleotide of claim 27, wherein the sequence identity is at least 90%.

The polynucleotide of claim 2/7, wherein the sequence identity is at least 95%.

29 29



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6. The following is an examiner's statement of reasons for allowance:

This Application provides polynucleotide sequence of SEQ ID NO: 46 encoding 3-isopropylmalate dehydratase of SEQ ID NO: 47 from *Zea mays*. Application further provides vectors, host cells and method of making the enzyme recombinantly. A number of other cDNA clones encoding the enzyme have been identified, exemplified in the instant specification. DNA encoding 3-isopropylmalate dehydratase sequences from soybean, rice and wheat (not prior art) have been shown bearing various degrees of sequence homologies of 28%, 44.7% & 93 % respectively to the claimed sequence of SEQ ID NO: 46 and having the dehydratase activity. Amino acids which are conserved among plants as well as among other species is disclosed in Figures 7A & 7B of the specification.

A comparison of the homologies of the above described sequences, indicates that known sequences of lesser homology than 80% to have 3-isopropylmalate dehydratase activity. Further prior art amino acid sequence of *Rhizopus* 3-isopropylmalate dehydratase [Accession No. P55811] shows a sequence homology of 14.2% to Applicants' SEQ ID NO: 47. Therefore, based upon the examples provided, the knowledge in the prior art as well as the artisan's skills the claims are enabled.

No prior art reference or sequence, however, taken alone or in combination teach the claimed invention or provide motivation to one of ordinary skill in the art to use the skills available in the area of enzymology/molecular biology, to make the invention obvious.

. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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- 7. Applicants drawing submitted in this application has been approved by the Draftsman.
- 8. The information disclosure statement filed December 20, 2001, fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because the Applicants have not provided a clean form PTO-1449, instead Applicants have provided a previously submitted signed copy of form PTO-1449 considered in parent application number 09/173300. It has been placed in the application file, but the information referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609 C(1).
- 9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tekchand Saidha (Ph.D.) whose telephone number is (703) 305-6595. The examiner can normally be reached on Monday-Friday from 8:45 am to 5:45 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapu Achutamurthy, can be reached at (703) 308-3804. The fax phone number for this Group in the Technology Center is (703) 308-0294.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 308-0196.

Jekchand Saidha

Primary Examiner, Art Unit 1652

May 21, 2003